



Contact Officer:  
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To:

Councillors: Janet Axworthy, Geoff Collett, Chris Dolphin, Andy Dunbobbin,  
Patrick Heesom, Paul Johnson and Arnold Woolley

**Co-opted Members:**

Sally Ellis and Allan Rainford

12 November 2020

Dear Sir/Madam

**NOTICE OF REMOTE MEETING**  
**AUDIT COMMITTEE**  
**WEDNESDAY, 18TH NOVEMBER, 2020 at 10.00 AM**

**\* Please note that there will be a five minute briefing session on National Safeguarding Week (16-20 November) commencing at 9.55am immediately prior to the start of this meeting.**

Yours faithfully

Robert Robins  
Democratic Services Manager

Please note: Due to the current restrictions on travel and the requirement for physical distancing, this meeting will not be held at its usual location. This will be a remote meeting and 'attendance' will be restricted to Committee Members. The meeting will be recorded.

If you have any queries regarding this, please contact a member of the Democratic Services Team on 01352 702345.

## A G E N D A

### 1 **APPOINTMENT OF CHAIR**

**Purpose:** To appoint a Chair for the Committee.

### 2 **APPOINTMENT OF VICE-CHAIR**

**Purpose:** To appoint a Vice-Chair for the Committee.

### 3 **APOLOGIES**

**Purpose:** To receive any apologies.

### 4 **DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)**

**Purpose:** To receive any Declarations and advise Members accordingly.

### 5 **MINUTES** (Pages 5 - 14)

**Purpose:** To confirm as a correct record the minutes of the meeting on 9 September 2020.

### 6 **EMERGENCY SITUATION BRIEFING (VERBAL)**

**Purpose:** To update on the latest position and the risks and implications for Flintshire and service and business continuity.

### 7 **SCHOOL RESERVE BALANCES YEAR ENDING 31 MARCH 2020** (Pages 15 - 32)

Report of Chief Officer (Education and Youth) - Leader of the Council and Cabinet Member for Education

**Purpose:** To report the level of school balances to Audit Committee and to highlight the risks and internal processes associated with schools in deficit.

### 8 **ASSET DISPOSAL AND CAPITAL RECEIPTS GENERATED 2019/20** (Pages 33 - 40)

Report of Chief Officer (Housing and Assets) - Cabinet Member for Corporate Management and Assets

**Purpose:** To inform Members of the 2019/20 asset disposals.

9 **TREASURY MANAGEMENT MID-YEAR REVIEW 2020/21 AND QUARTER 2 UPDATE** (Pages 41 - 66)

Report of Corporate Finance Manager -

**Purpose:** To present to Members the draft Treasury Management Mid-Year Review 1st April - 30th September 2020 for comments and recommendations for approval to Cabinet.

10 **RISK MANAGEMENT FRAMEWORK** (Pages 67 - 82)

Report of Chief Executive -

**Purpose:** To report progress on the implementation of the updated risk management framework.

11 **APPROACH TO THE 2020/21 ANNUAL GOVERNANCE STATEMENT** (Pages 83 - 122)

Report of Chief Officer (Governance) -

**Purpose:** To set out the process for Member involvement on the Annual Governance Statement 2020/21.

12 **AUDIT COMMITTEE ANNUAL REPORT** (Pages 123 - 162)

Report of Chair of Audit Committee -

**Purpose:** To report to Members details of the activities of the Audit Committee during 2019/20.

13 **INTERNAL AUDIT PROGRESS REPORT** (Pages 163 - 212)

Report of Internal Audit Manager -

**Purpose:** To present to the Committee an update on the progress of the Internal Audit Department.

14 **AUDIT COMMITTEE ACTION TRACKING** (Pages 213 - 218)

Report of Internal Audit Manager -

**Purpose:** To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

15 **FORWARD WORK PROGRAMME** (Pages 219 - 226)

Report of Internal Audit Manager -

**Purpose:** To consider the Forward Work Programme of the Internal Audit Department.

**NOTE: Annual Meeting with Internal and External Auditors**

In accordance with the agreed procedure, members of the Audit Committee will meet with the Internal and External Auditors immediately following the meeting.